

# Cross-Quality Face Recognition with Deep Models and Human Recognition

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# Motivation

- To examine the performance of cross quality face recognition
- Compare with human performance of FR on cross-quality faces
- Focus on extremely difficult level of face images (those face images that deep model fails to recognize successfully)

# Datasets Preparation

- Two datasets
  - IJB-A: 21,230 images (500 subjects)
  - FaceScrub: 78,650 images (530 subjects)
- Divide each dataset into three groups using same protocol (according to face quality score).
  - High quality set: image quality score  $\geq 60$
  - Middle quality set: image quality score in  $[30, 60)$
  - Low quality set: image quality score  $< 30$

## □ IJB-A

High quality set : 1,543 images (500 subjects)

Middle quality set : 13,491 images (483 subjects)

Low quality set: 6,196 images (489 subjects)

## □ FaceScrub

High quality set: 57,124 images (530 subjects)

Middle quality set: 21,164 images (530 subjects)

Low quality set: 362 images (232 subjects)

Considering high cost of time and memory of code running, trim FaceScrub dataset:

- Method

- High quality set: randomly select 1/6 images of each subject
- Middle quality set: randomly select half of each subject
- Low quality set: unaltered

- Trimmed Version of FaceScrub

- High: 10,089 images (530 subjects)
- Middle: 10,444 images (530 subjects)
- Low: 362 images (232 subjects)

20,895 images (530 subjects) in total

# Method

- (1) Deep Model based Face Verification
  - Choose low quality sets of each dataset as query images
  - Choose high quality sets of each dataset as gallery images
  - Perform face verification experiment using four deep models
    - VGGFace
    - LightCNN
    - CenterLoss
    - FaceNet

## (2) Human based Face Verification

- Choose the deep model with best performance among the four models in face verification experiments
- Find the best decision boundary for positive and negative pairs based on the selected deep model
- Randomly select those pairs that the selected deep model fails to recognize correctly
- Recruit humans to perform face verification on these selected pairs using a tool

# Face Verification on Deep Models

- ❖ Perform face verification experiment
  - Low vs. High quality set
  - Middle vs. High quality set
- ❖ Calculate Cosine Similarity Score
- ❖ Python Programming Language adopted
  - Calculate the Verification Accuracy with respect to
    - FAR=0.01
    - FAR=0.001
    - FAR=0.0001

(FAR: false accept error; TAR: true accept error)



# Program Procedures

- Read face features of all probe and gallery images
- Construct Similarity Matrix
  - Rows: probe images
  - Columns: gallery images
  - Values: cosine similarity scores
- Create Similarity Mask Matrix
  - Rows: probe images
  - Columns: gallery images
  - Values: -1 means two images in row and column is positive pair; 127 indicates negative pair
- Calculate accuracy with respect to FAR=0.01, 0.001, 0.0001

# IJB-A

High quality set: 1,543 images

Middle quality set: 13,491 images

Low quality set: 6,196 images

## ☐ Low to High Matching

✓ Positive pairs: 18,978

✓ Negative pairs: 9,541,450

## ☐ Middle to High Matching

✓ Positive pairs: 41,642

✓ Negative pairs: 20,774,971

## ☐ Low to Middle:

✓ Positive pairs:

✓ Negative pairs:

# FaceScrub

High quality set: 10,089 images

Middle quality set: 10,444 images

Low quality set: 362 images

## ☐ Low to High Matching

✓ Positive pairs: 6,676

✓ Negative pairs: 3,645,542

## ☐ Middle to High Matching

✓ Positive pairs: 193,745

✓ Negative pairs: 105,175,771

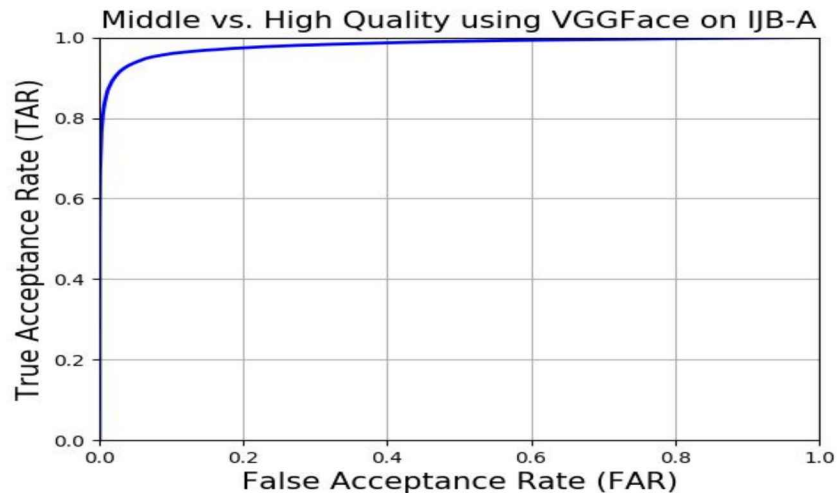
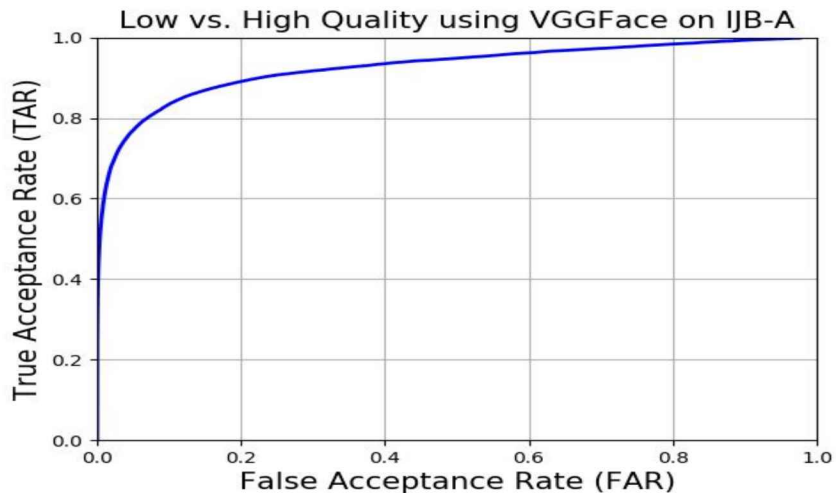
## ☐ Low to Middle:

✓ Positive pairs:

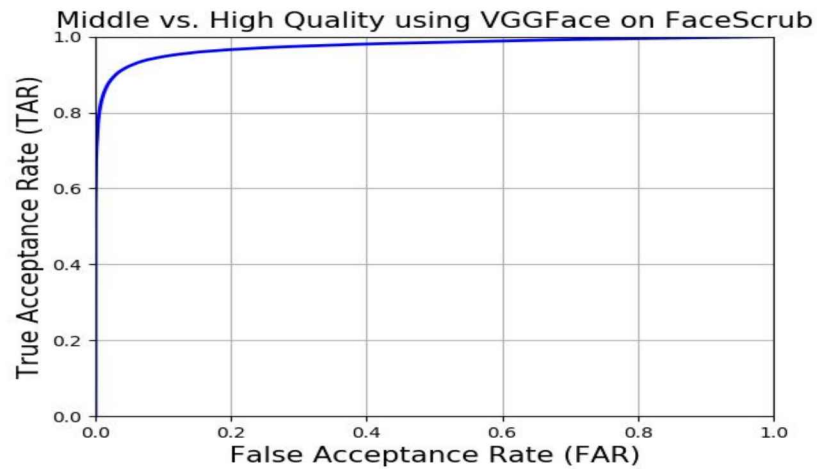
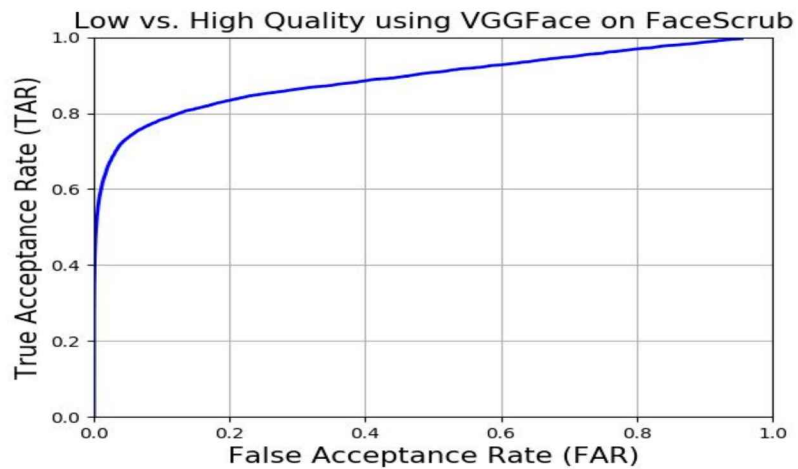
✓ Negative pairs:

# Deep Feature Matching:

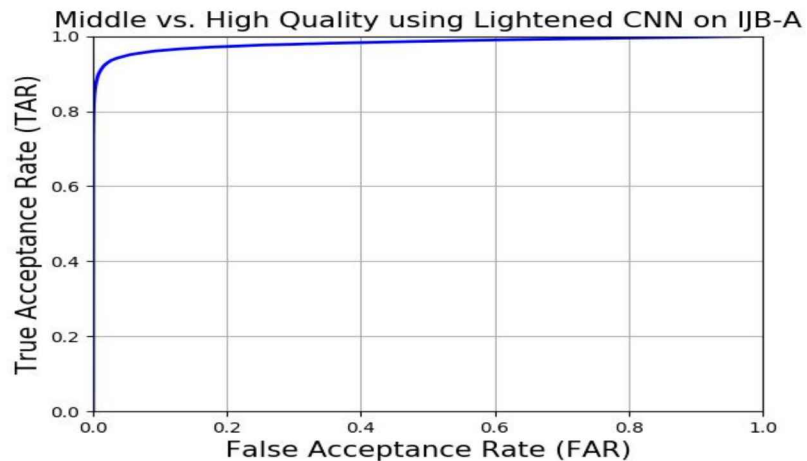
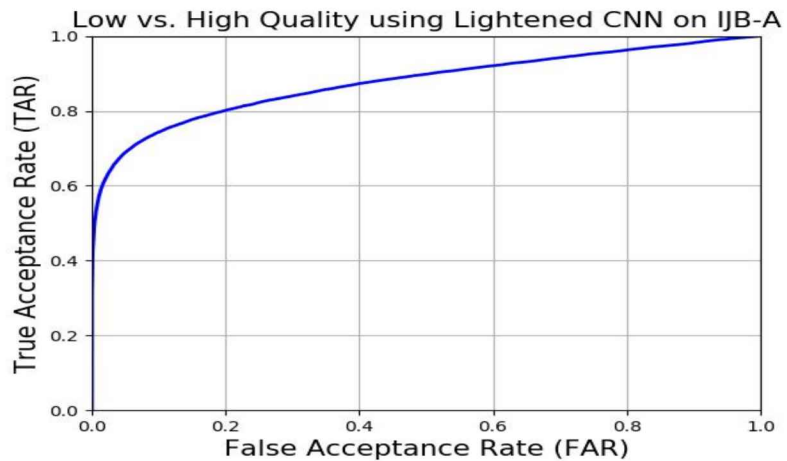
- **VGGFace** on IJB-A:



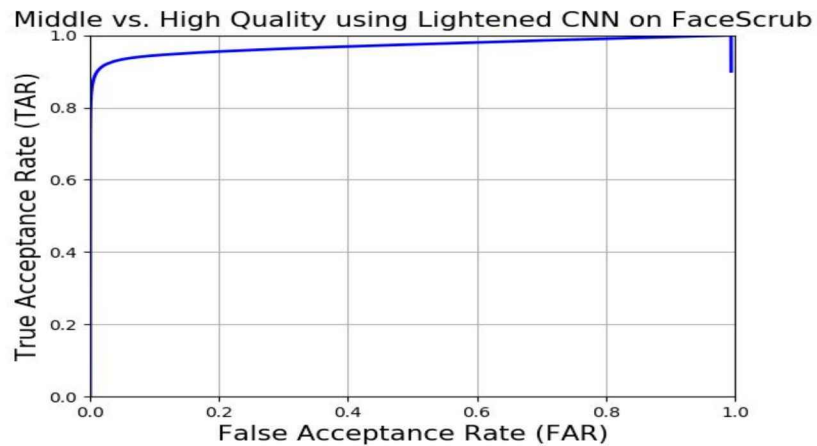
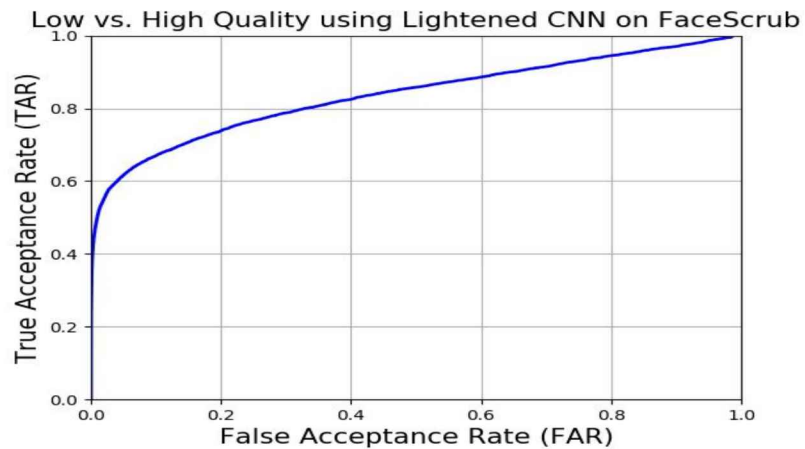
- VGGFace on FaceScrub:



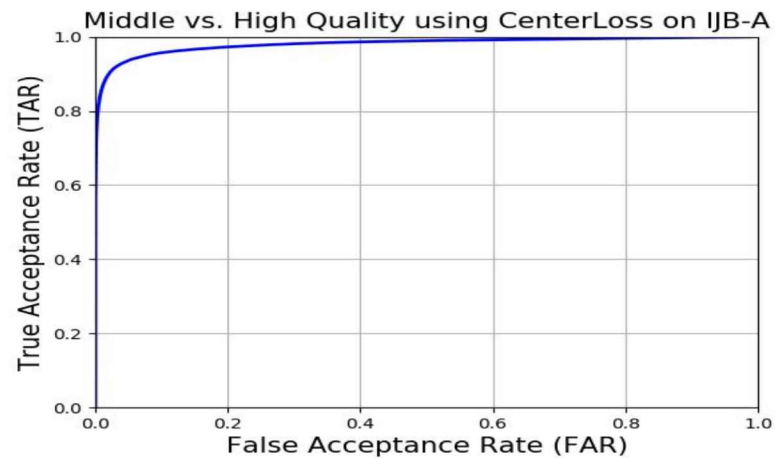
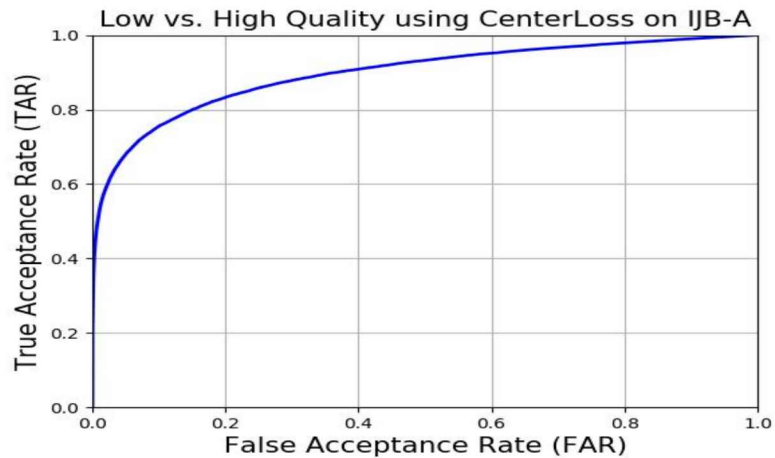
- **LightCNN** on IJB-A:



- LightCNN on FaceScrub:

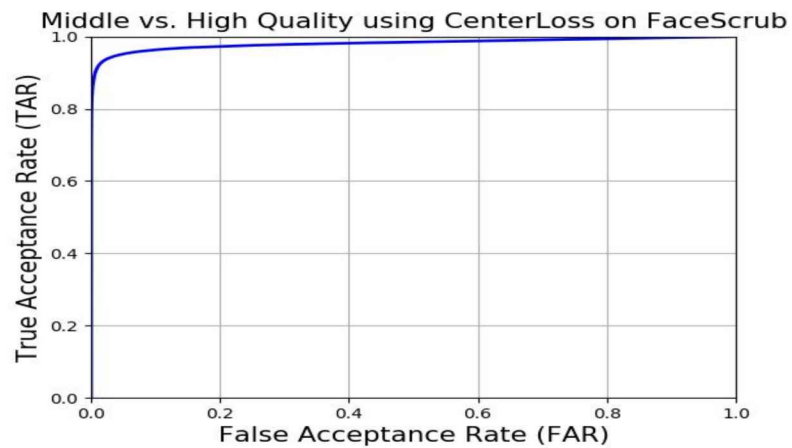
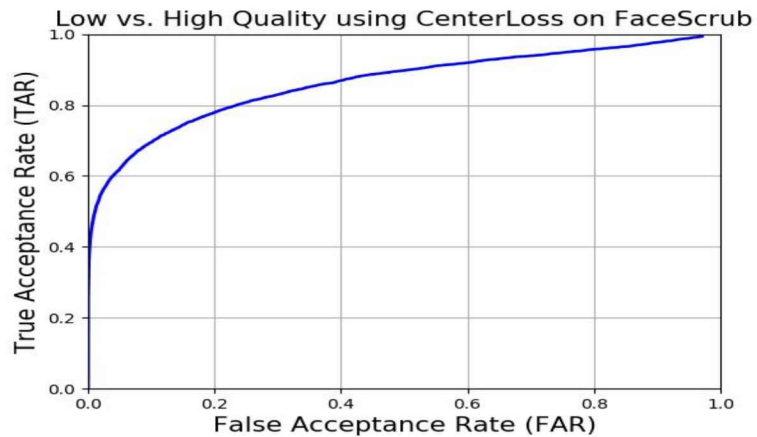


- **CenterLoss** on IJB-A:

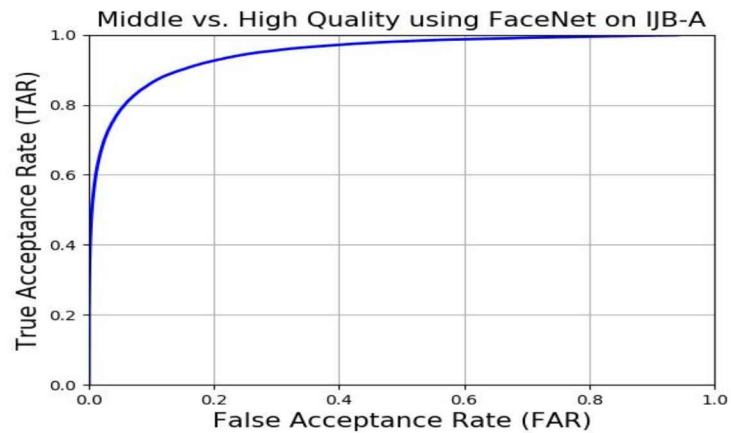
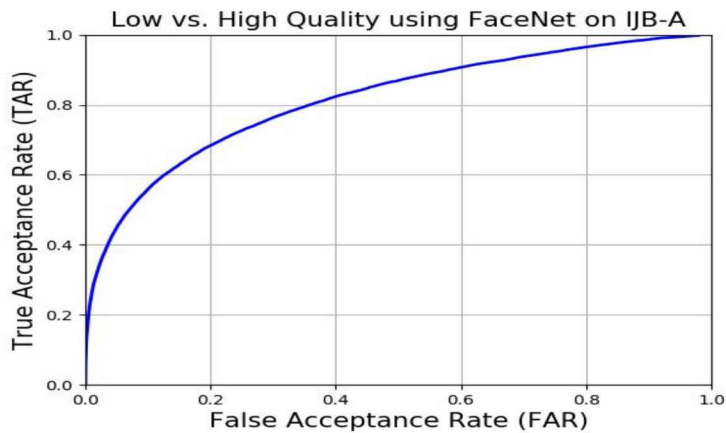




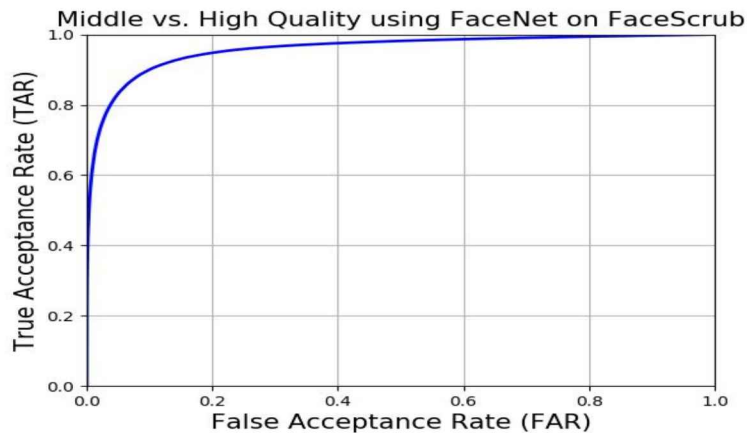
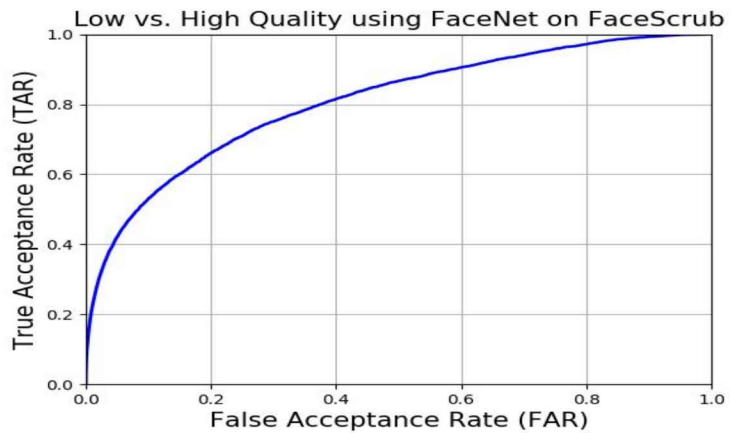
- CenterLoss on FaceScrub:



- **FaceNet** on IJB-A:

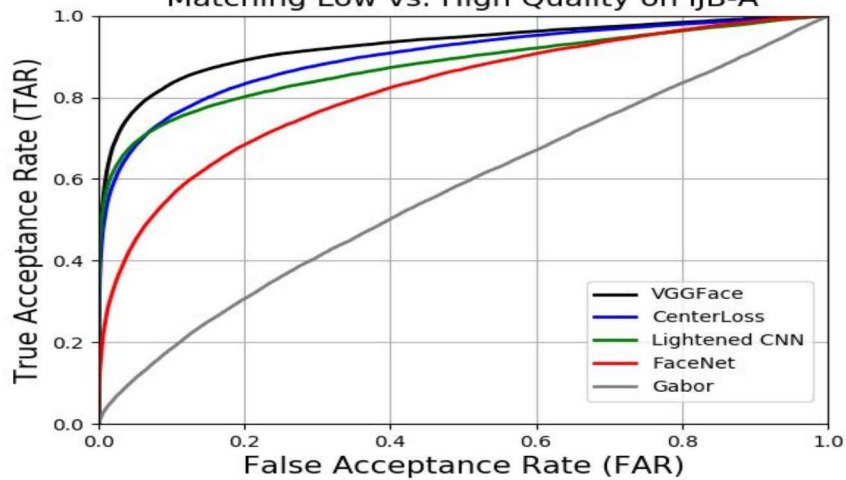


- FaceNet on FaceScrub:

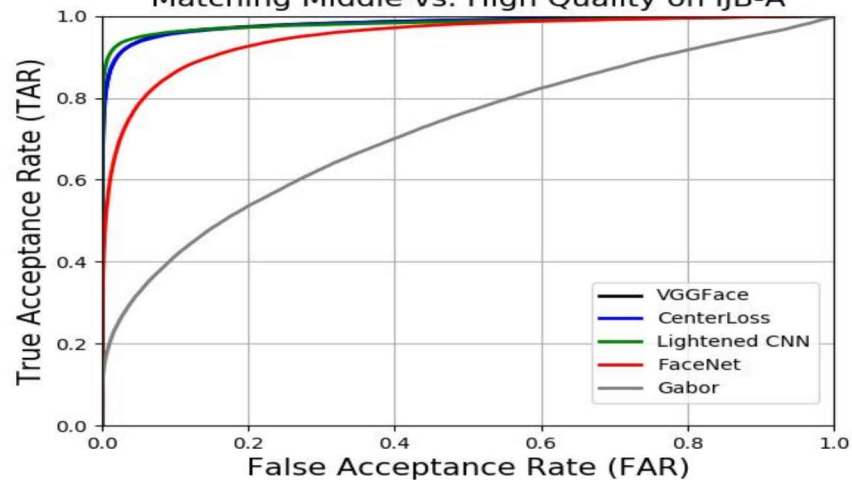


# IJB-A

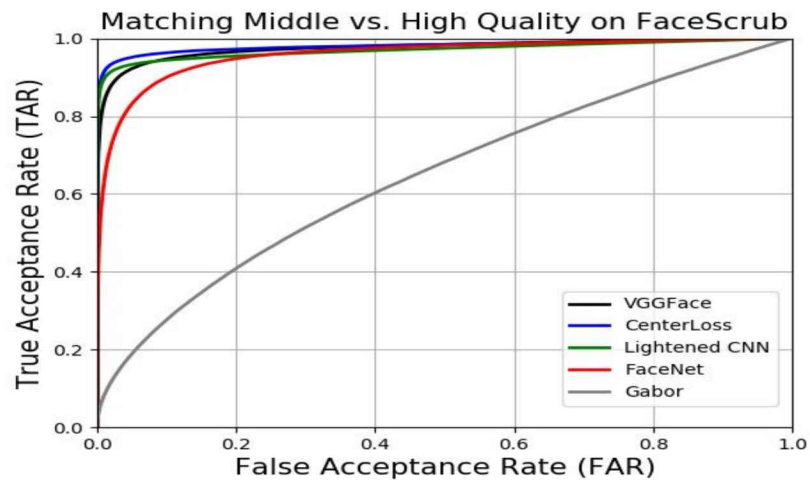
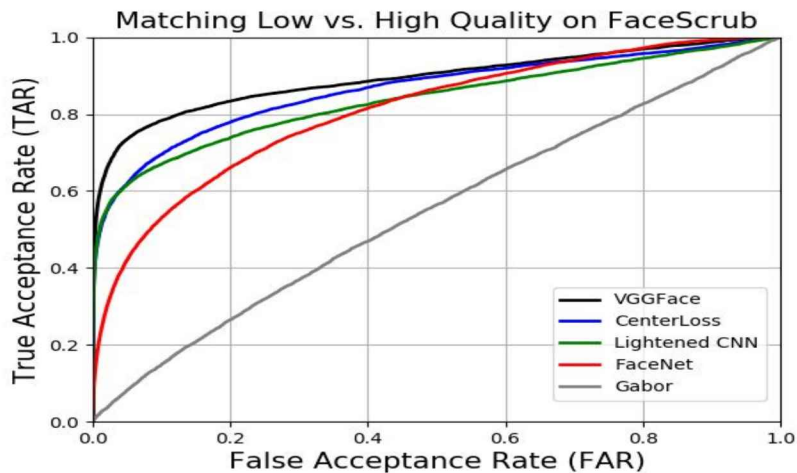
Matching Low vs. High Quality on IJB-A



Matching Middle vs. High Quality on IJB-A



# FaceScrub



# Verification Result

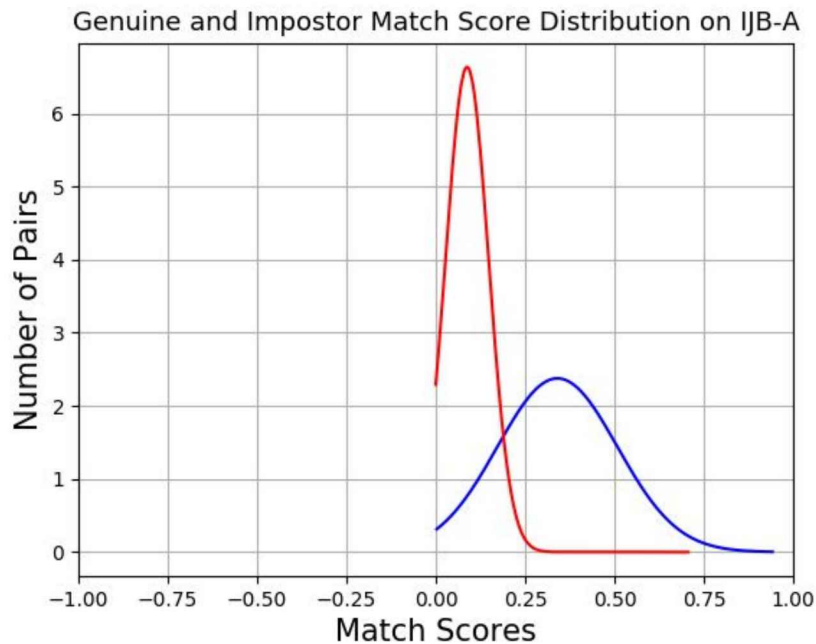
Dataset	Model	Low to High			Middle to High		
		FAR=0.01	0.001	0.0001	0.01	0.001	0.0001
IJB-A	VGGFace	0.605	0.367	0.194	0.858	0.675	0.491
	Lightened CNN	0.566	0.402	0.269	0.905	0.808	0.678
	CenterLoss	0.521	0.313	0.164	0.859	0.692	0.499
	FaceNet	0.257	0.100	0.033	0.586	0.330	0.165
FaceScrub	VGGFace	0.595	0.389	0.231	0.837	0.662	0.468
	Lightened CNN	0.503	0.330	0.148	0.896	0.811	0.668
	CenterLoss	0.493	0.341	0.215	0.914	0.814	0.652
	FaceNet	0.219	0.075	0.019	0.633	0.350	0.162

# Choose the Best Deep Model on Low vs. High Matching

- IJB-A
  - VGGFace
- FaceScrub
  - VGGFace

# Decision Boundary: IJB-A, VGGFace

- Matching Score Threshold:
  - 0.188121

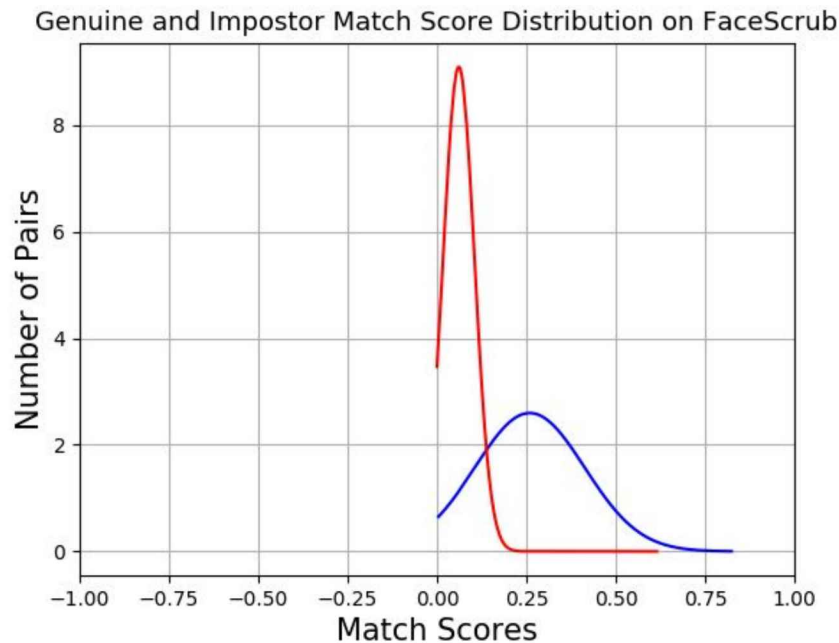


Choose Cosine Similarity Score as Match Score



# Decision Boundary: FaceScrub, VGGFace

- Matching Score Threshold:
  - 0.138071



# Positive and Negative Pairs

- Use threshold of each dataset to filter all pairs
- Filtered Pairs
  - IJB-A
    - ✓ Positive pairs: Match Score  $< 0.188121$
    - ✓ Negative pairs: Match Score  $\geq 0.188121$
  - FaceScrub
    - ✓ Positive pairs: Match Score  $< 0.138071$
    - ✓ Negative pairs: Match Score  $\geq 0.138071$
- Randomly select 100 positive pairs and 100 negative pairs from each dataset
- In this case, deep model recognition rate is 0% correct

# Experiment

- We recruit a number of participants to visually check all face pairs to determine if each face pair showed in front of them belong to the same identity or different identities.
- For convenience, we developed a tool based on Python language to aid participants perform this experiment


# Tool

Human Recognition

Select

Select Samples

pictures



buttons

Previous Pair   Same Person   Different Person   Next Pair

Pair List

number	result
1	-1
2	1
3	1
4	1
5	-1
6	-1
7	1
8	-1
9	-1
10	-1
11	-1
12	-1
13	-1
14	-1
15	-1
16	-1
17	-1

Save Result

# Participants

- A total of 20 participants
  - Male: 14
  - Female: 6
- Some participants has much experience on face images quality
- Some know about face image quality
- And others have never worked on facial image analysis using a computer

# Procedure

- For each dataset
  - There are 100 positive pairs and 100 negative pairs
  - Randomize all the pairs (200 pairs)
  - Divide all the pairs into four subsets, each contains 50 pairs
- Finally, we get 8 subsets in total
- Participants view two images side by side for each subset
- When finish one subset, participants are asked to do next subset after a pretty good rest
- Participants have unlimited time to finish it

- Participants are asked to rate each pair of images
  - 1: same subject
  - -1: different subjects

# Result

- We divide all participants into three groups
  - Group1: Have much experience on face image quality  
3 participants
  - Group2: Working on some facial image analysis tasks  
4 participants
  - Group3: Never worked on facial image analysis with a computer  
13 participants
- For each group
  - Majority Voting to get result of each images pair
  - Draw ROC curve and confusion matrix
  - Calculate Accuracy



# IJB-A

IJB-A: All

Rate		Predicted		Accuracy
		Positive	Negative	
Actual	Positive	81%	19%	84%
	Negative	13%	87%	

IJB-A: Group1

Rate		Predicted		Accuracy
		Positive	Negative	
Actual	Positive	93%	7%	92%
	Negative	9%	91%	

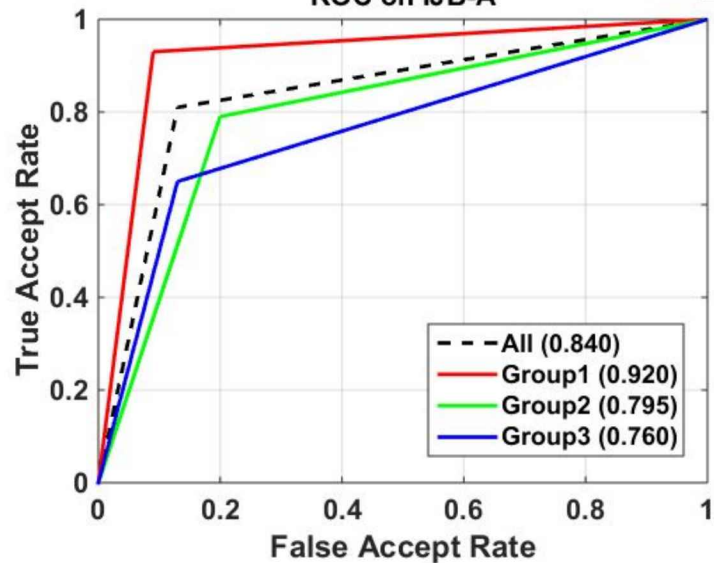
IJB-A: Group2

Rate		Predicted		Accuracy
		Positive	Negative	
Actual	Positive	79%	21%	79.5%
	Negative	20%	80%	

IJB-A: Group3

Rate		Predicted		Accuracy
		Positive	Negative	
Actual	Positive	65%	35%	76%
	Negative	13%	87%	

ROC on IJB-A



# FaceScrub

FaceScrub: All

Rate		Predicted		Accuracy
		Positive	Negative	
Actual	Positive	28%	72%	57%
	Negative	14%	86%	

FaceScrub: Group1

Rate		Predicted		Accuracy
		Positive	Negative	
Actual	Positive	57%	43%	74.5%
	Negative	8%	92%	

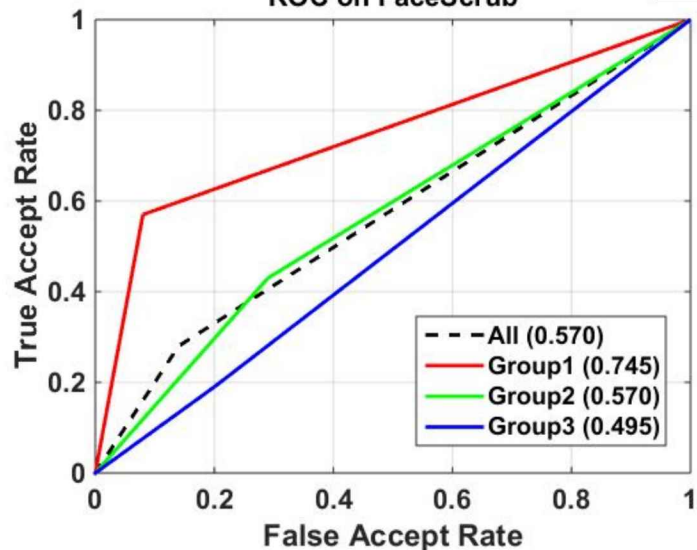
FaceScrub: Group2

Rate		Predicted		Accuracy
		Positive	Negative	
Actual	Positive	43%	57%	57%
	Negative	29%	71%	

FaceScrub: Group3

Rate		Predicted		Accuracy
		Positive	Negative	
Actual	Positive	19%	81%	49.5%
	Negative	20%	80%	

ROC on FaceScrub



# Conclusion

- People with experience of face recognition performs better than those who do not.
- People have higher accuracy in recognition of negative pairs than that of positive pairs.
- Hard to recognize positive pairs since quality is low; for negative pairs, it is easier to view them as negative (different persons)

- Accuracy on Facescrub is lower than IJB-A
- FaceScrub low quality images has lower quality than IJB-A's (quality score!)