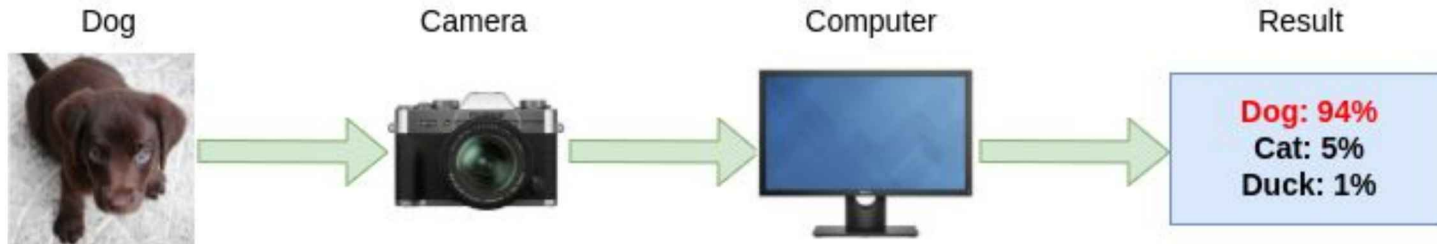


# Face Morphing Attacks: MorphGANFormer

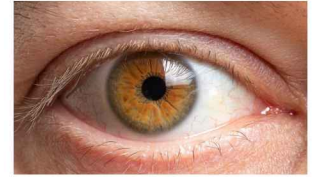
Na Zhang

# Computer Vision (CV)

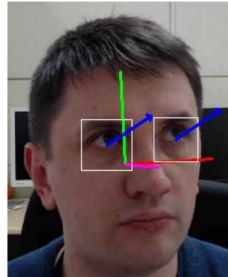
- It enables computers and systems to “see”, observe and understand the content of the inputs, like images, videos, etc.
  - “See”
    - acquire information from the real world
  - Observe
    - derive meaningful information
  - Understand
    - take actions or make decisions based on that information



# Biometrics

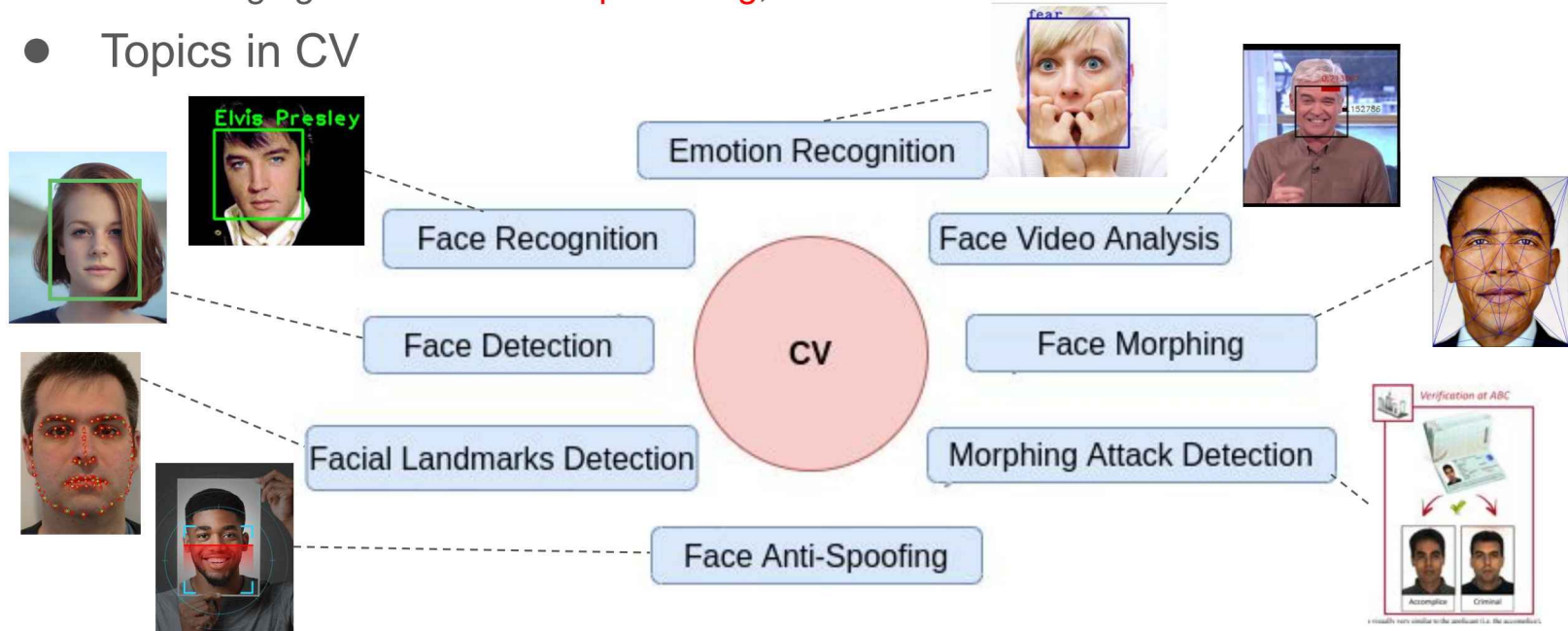


- Distinctive and measurable **human characteristics**
- Used to label / describe individuals
- It combines CV and knowledge of human physiology and behavior
  - **Physiological characteristics**
    - related to the **shape of the body**
    - e.g. fingerprint, palm, face, DNA, hand geometry, iris, retina, odor/scent
  - **Behavioral characteristics:**
    - related to the **pattern of behavior of a person**
    - e.g. hand gesture, typing pattern, gaze pattern, voice, gait



# Face Biometric

- One of the most expressive and **informative biometric traits**
- Many studies from the perspectives of various different disciplines
  - ranging from **CV** and **deep learning**, to **neuroscience** and **biometrics**
- Topics in CV



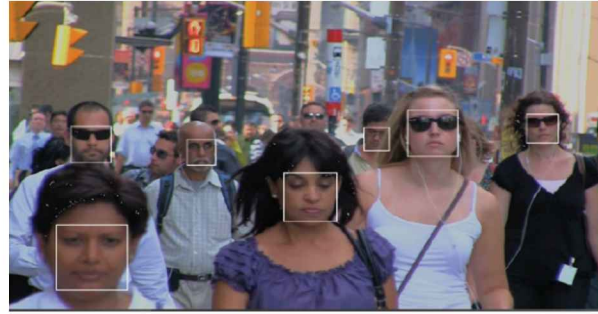
# Face Analysis

- With the development of computer hardware and imaging technology, **face related applications** have been applied widely to daily lives

access control



video surveillance



- The demands of **face analysis** are also growing quickly in recent years
- **Automatic face analysis** will be one promising tool in many areas in the future

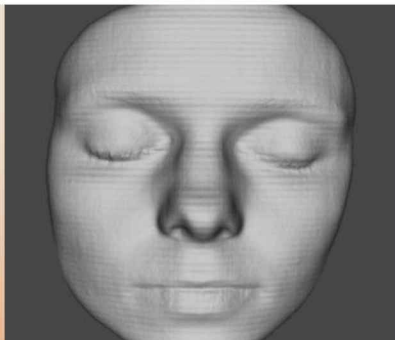
# Data Types

- The types of raw data can be:

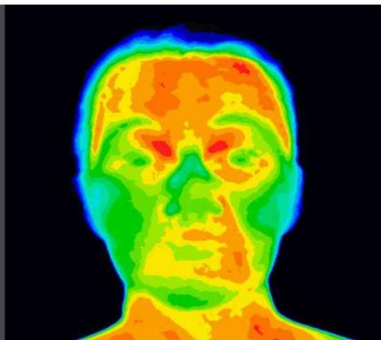
RGB Images



Depth Maps



Thermal Images



Video



# Morphing Attack – Morphed Faces Generation

- **Face recognition systems (FRS)** have emerged as a popular technique for person identification and verification
- e.g., **Automatic Border Control System**
  - verify a person's identity with his electronic machine-readable travel document (eMRTD)
  - by comparing the face image of the **traveler** with a **reference in the database**



Traveler's Face

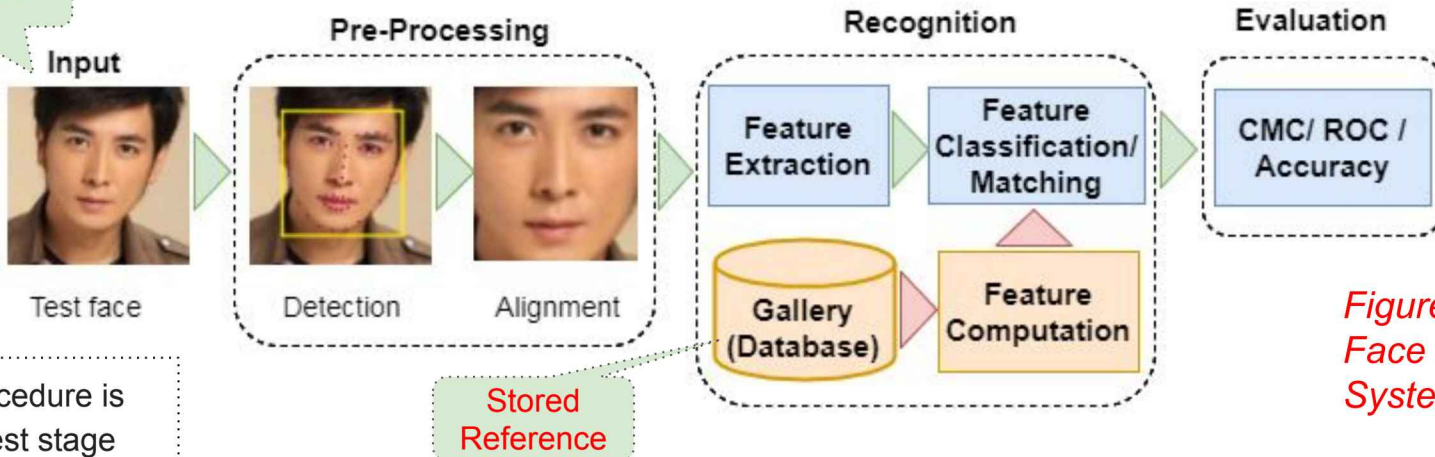
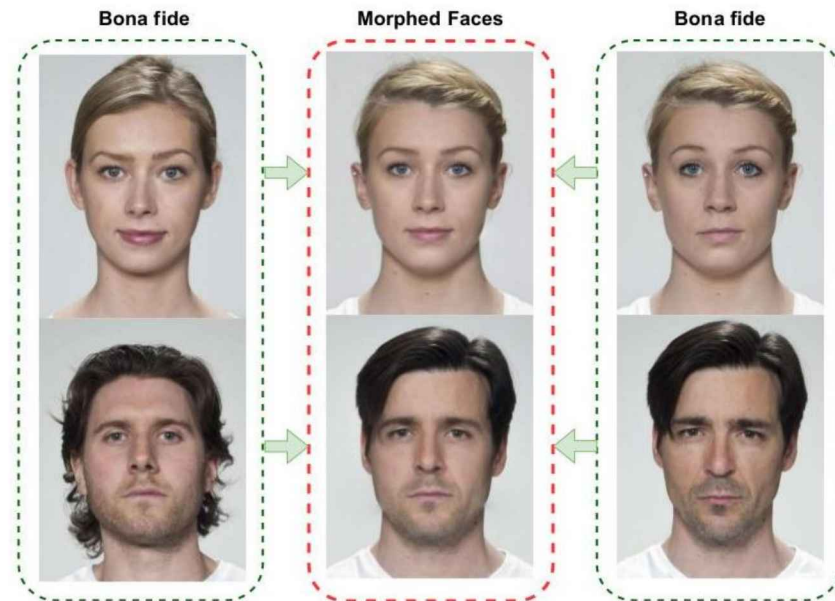


Figure: Pipeline of Face Recognition System (FRS)

# Vulnerability of FRS

- **FRS**
  - a popular technique for person identification and verification
- Vulnerable to **adversarial attacks**
  - although with high accuracy
- **Attacks based on morphed faces** pose a severe security risk
  - realistic enough to fool human
- Attack vs. Defense

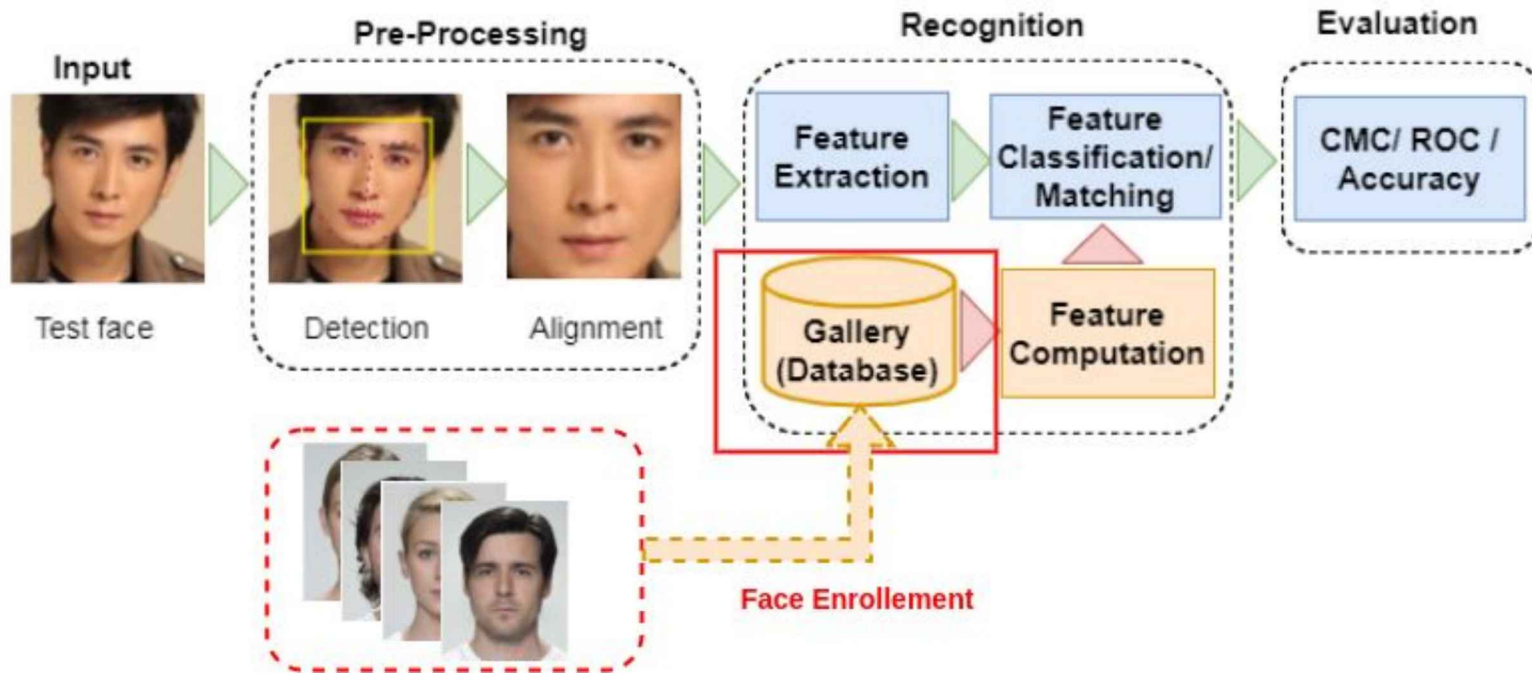


**strong visual resemblance to both bona fide faces**



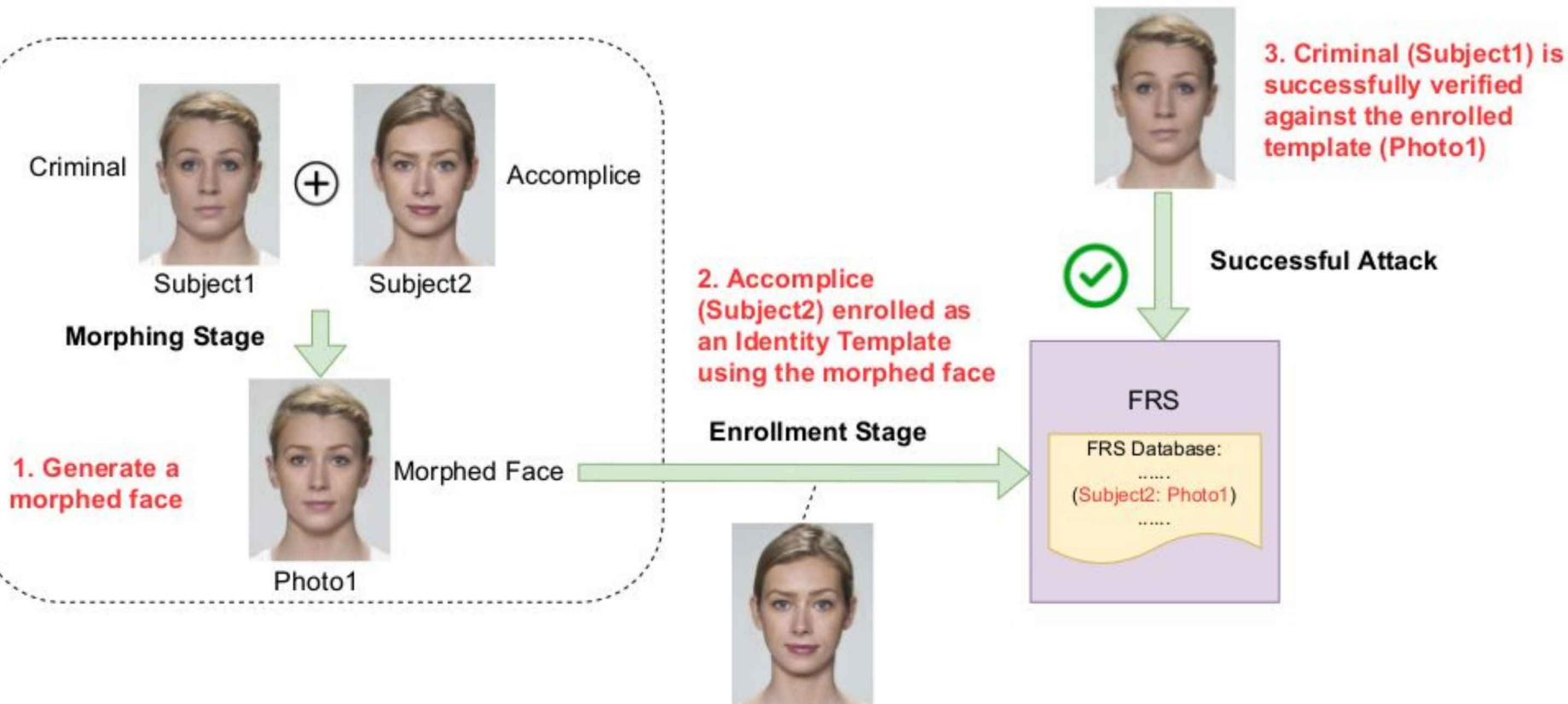
# What's Morphing Attack

- Try to interfere with the operation of the FRS by presenting an attack at the time of **enrollment**



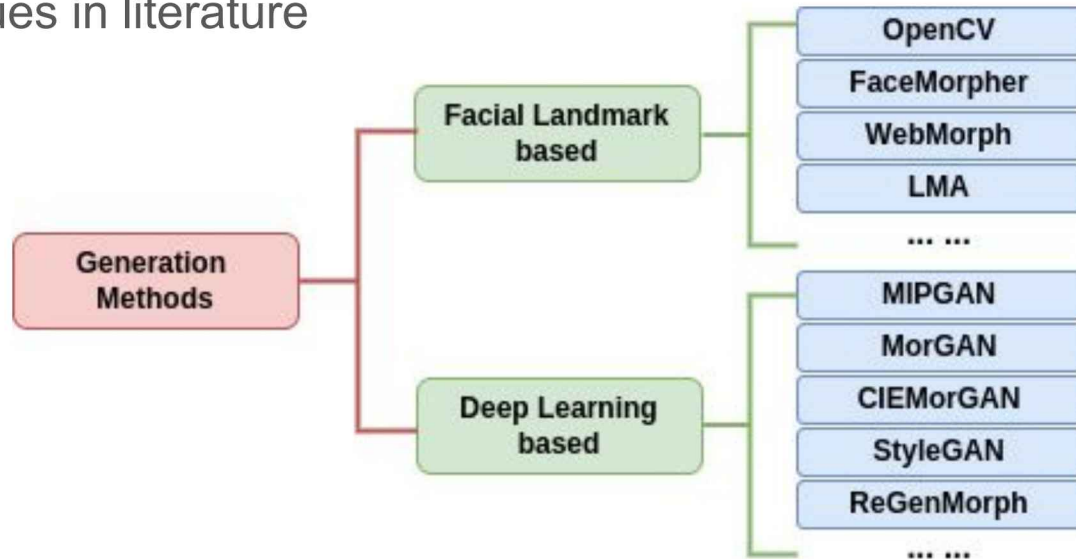
# Attack Procedure

The system treats the criminal as the accomplice, and let him /her pass



# Existing Morphing Tools/Techniques

- Numerous easy-to-use morphing tools online
  - e.g., [MorphThing](#), [3Dthis Face Morph](#), [Face Swap Online](#), [Abrosoft FantaMorph](#), [FaceMorpher](#), [MagicMorph](#)
- Techniques in literature



# Facial Landmark based

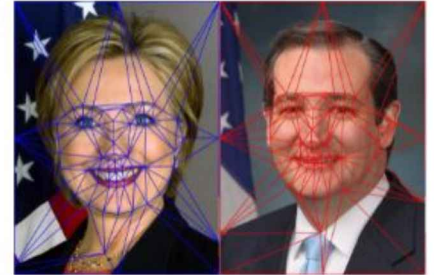
- Works by **obtaining landmark points** on facial regions
  - e.g., nose, eye, and mouth
- The landmark points obtained from two bona fide faces are **warped** by moving the pixels to different, more averaged positions
  - e.g. **Delaunay triangulation**
    - Affine transform
    - Alpha blending
- Post-processing
  - misaligned pixels generating artifacts
  - ghost-like artifacts

## Delaunay triangulation

Step 1: Get Facial Landmarks



Step 2: Delaunay Triangulation

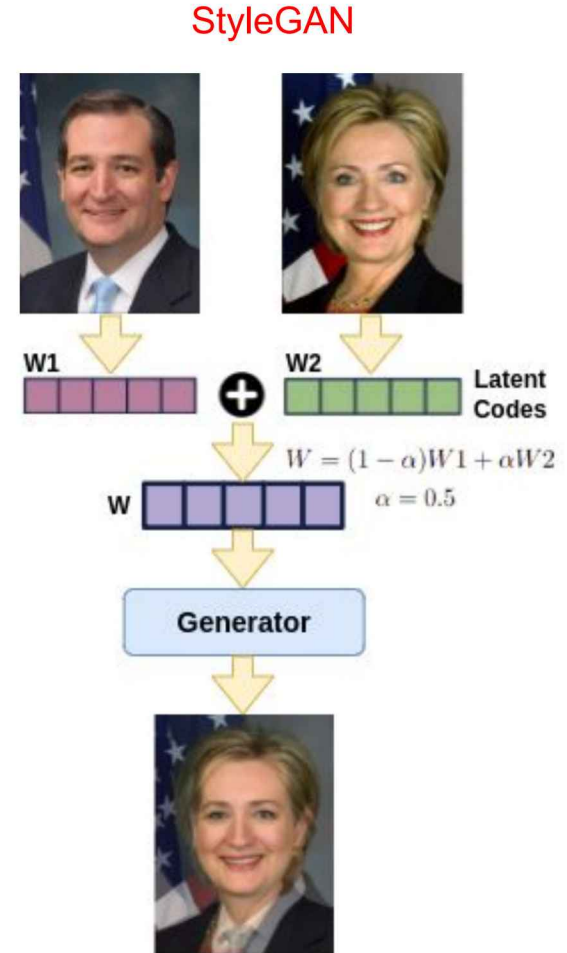


Step 3: Warping and Blending

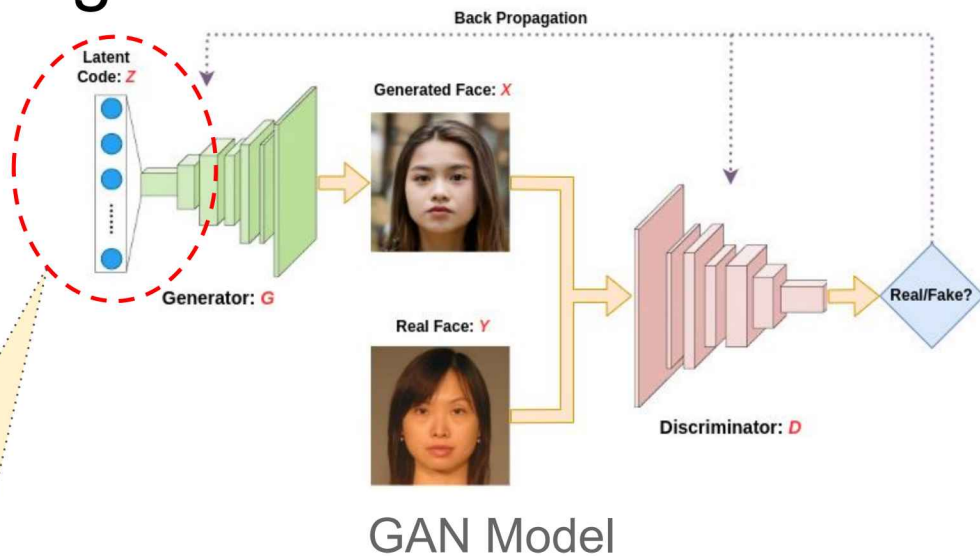
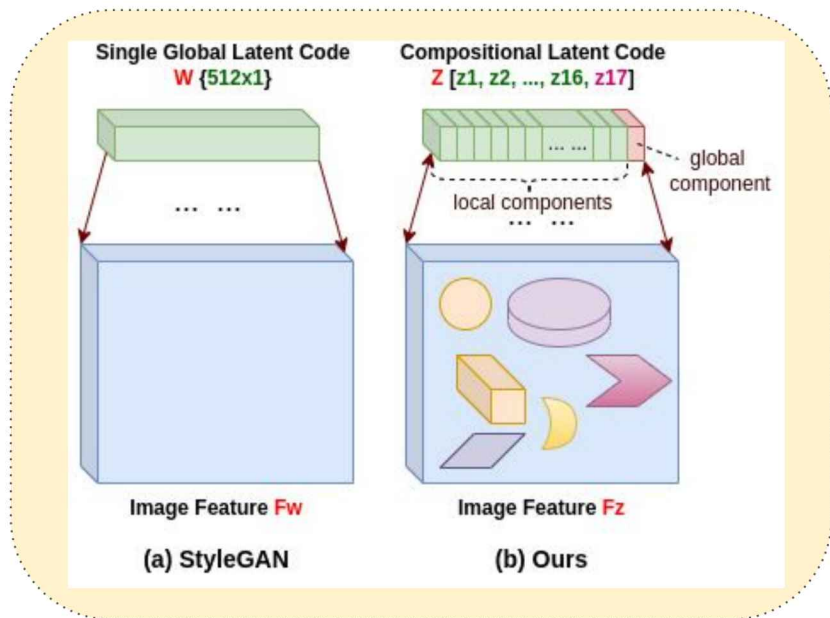


# Deep Learning based

- Most are based on **Generative Adversarial Networks (GAN)**
- Most adopt **CNN** as basic architecture
- Works by embedding the images in the intermediate **latent space**
  - e.g. **StyleGAN**
    - Linear combination
    - Synthesize using Generator
- Post-processing if needed
  - Synthetic-like generation artifacts



# Transformer based Morphing Attack



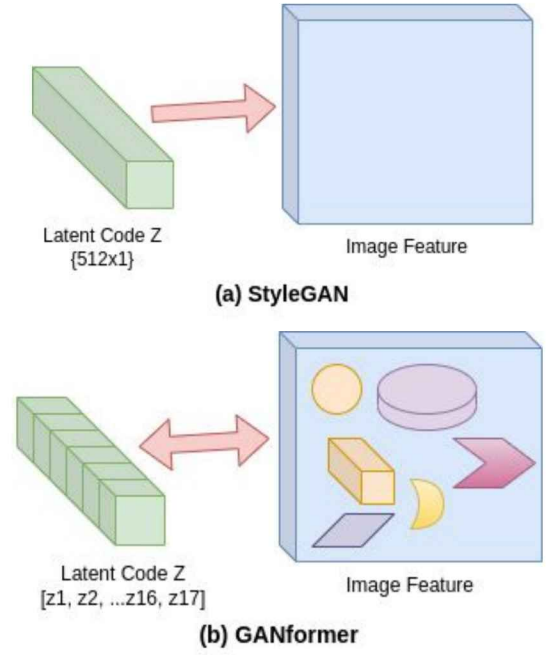
- Generative Adversarial Transformer (GANformer) [1]

- StyleGAN

- Monolithic latent space
- Single global style latent code
- Modulate whole scene uniformly
- In one direction

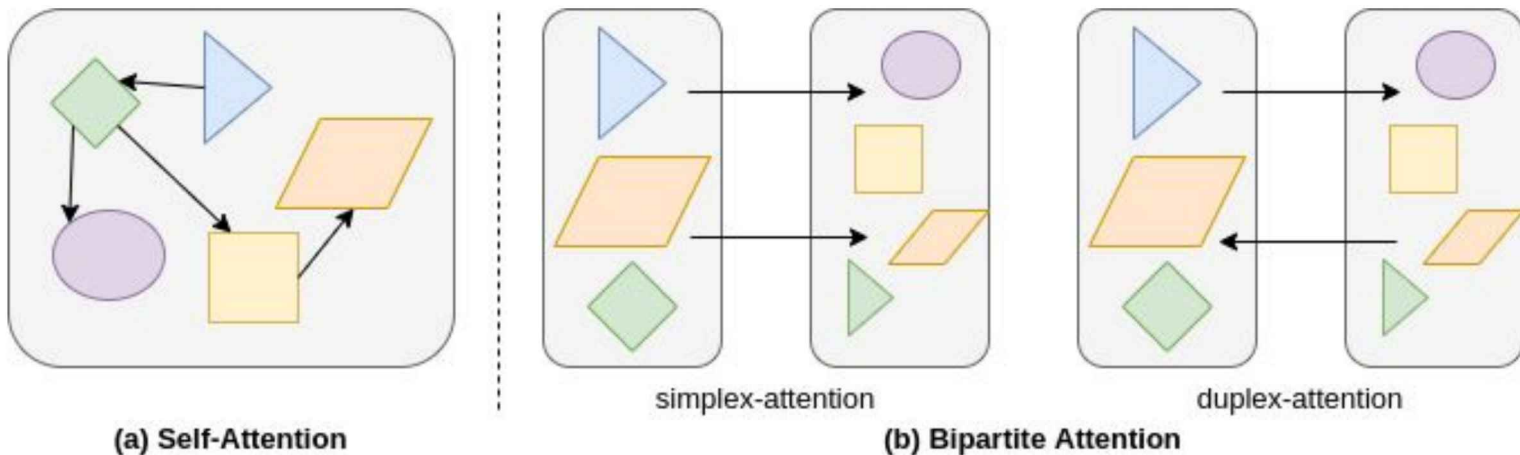
- GANformer

- Compositional latent space
- Multiple local style latent components
- Impact different regions in the image
- Spatially finer control
- In both directions



[1] Hudson, Drew A., and Larry Zitnick. "Generative adversarial transformers." International Conference on Machine Learning. PMLR, 2021.

# Bipartite Transformer



- **Traditional Transformer**

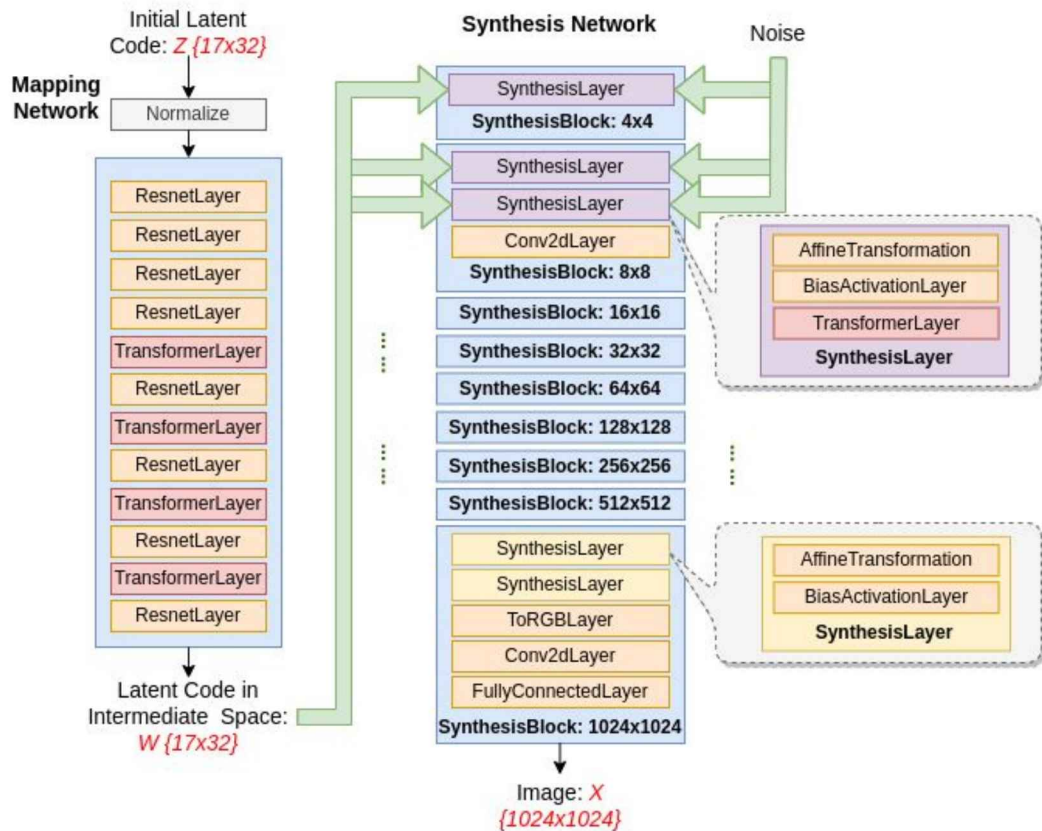
- Self-attention with pairwise connectivity
- Highly-adaptive
- Around relational attention & dynamic interaction
- Quadratic operation

- **Bipartite Transformer**

- Two types
  - Simplex-Attention: one direction
  - Duplex-attention: bidirectional
- Iteratively propagates information
- Computation of linear efficiency



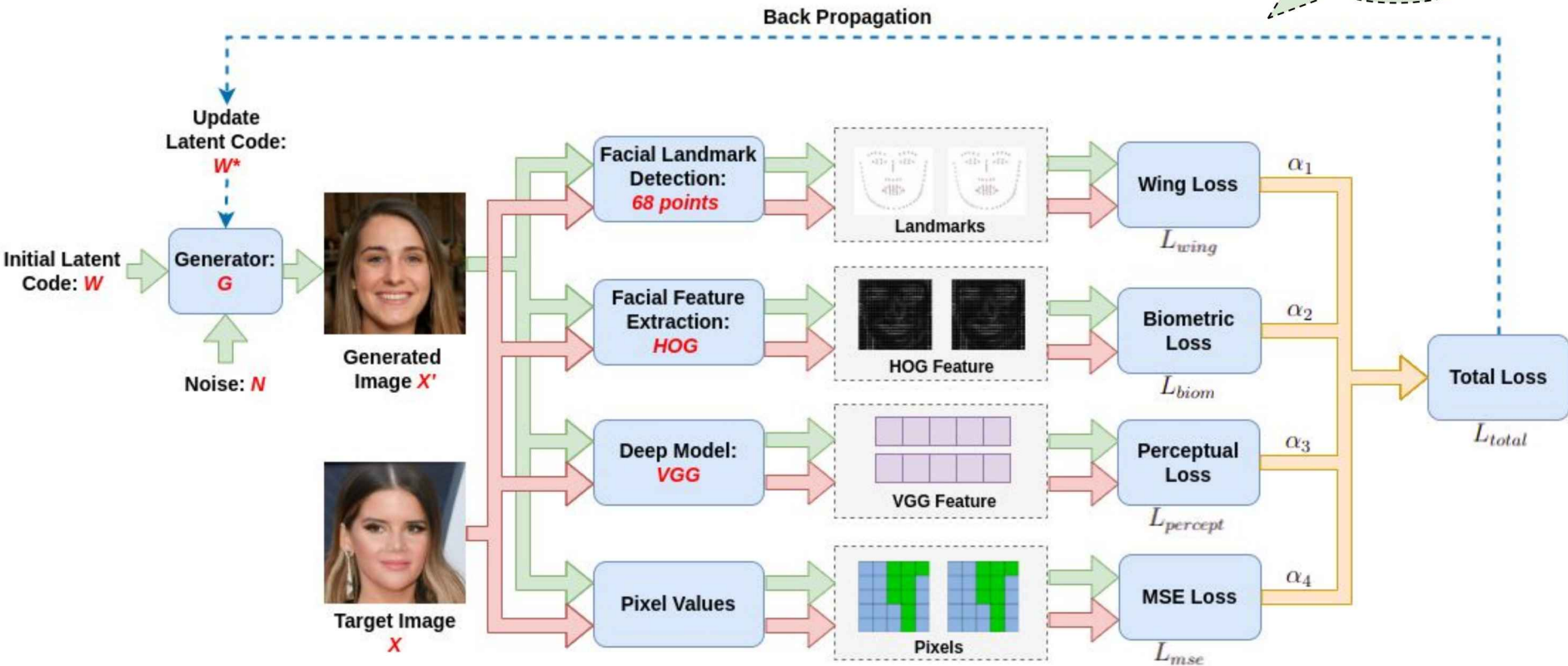
# Architecture of the Generator



- **Mapping network**
  - feed-forward layers
  - receive a randomly sampled vector  $Z$
  - output an intermediate vector  $W$
- **Synthesis network**
  - $W$  interacts directly with each transformer layer with added **Gaussian noise** to **modulate the evolving image features  $X$**
  - Finally,  $W$  is transformed into an image  $X$  as the output of the synthesis network
  - **9** stacked synthesis blocks
  - from 4x4 grid to **1024x1024**

# Latent Code Learning

Maximize Similarity



# Loss Function

- **Total loss**

$$L_{total} = \alpha_1 L_{wing} + \alpha_2 L_{biom} + \alpha_3 L_{percept} + \alpha_4 L_{mse}$$

- ❖ **Wing Loss**

$$L_{wing} = \begin{cases} \beta \ln(1 + |x|/\epsilon) & \text{if } |x| < \beta \\ |x| - C & \text{otherwise} \end{cases}$$

|x|: means the magnitude of the **gradients between the landmark points** of generated and target images

- ❖ **Perceptual Loss**

$$L_{percept}(G(w), I) = \sum_{j=1}^4 \frac{\lambda_j}{N_j} \|F_j(G(w)) - F_j(I)\|_2^2$$

measure the high-level similarity between images perceptually based on  $F_j$  – the **output feature of VGG-16 in layers**: conv1\_1, conv1\_2, conv3\_2 and conv4\_2, respectively.  $N_j$  is the number of scalars in the  $j$ -th layer output

- ❖ **Biometric Loss**

$$L_{biom} = 1 - \frac{HOG_{G(w)} \cdot HOG_I}{\|HOG_{G(w)}\| \|HOG_I\|}$$

The distance between two faces is computed using the **cosine similarity score based on HOG features**

- ❖ **MSE**

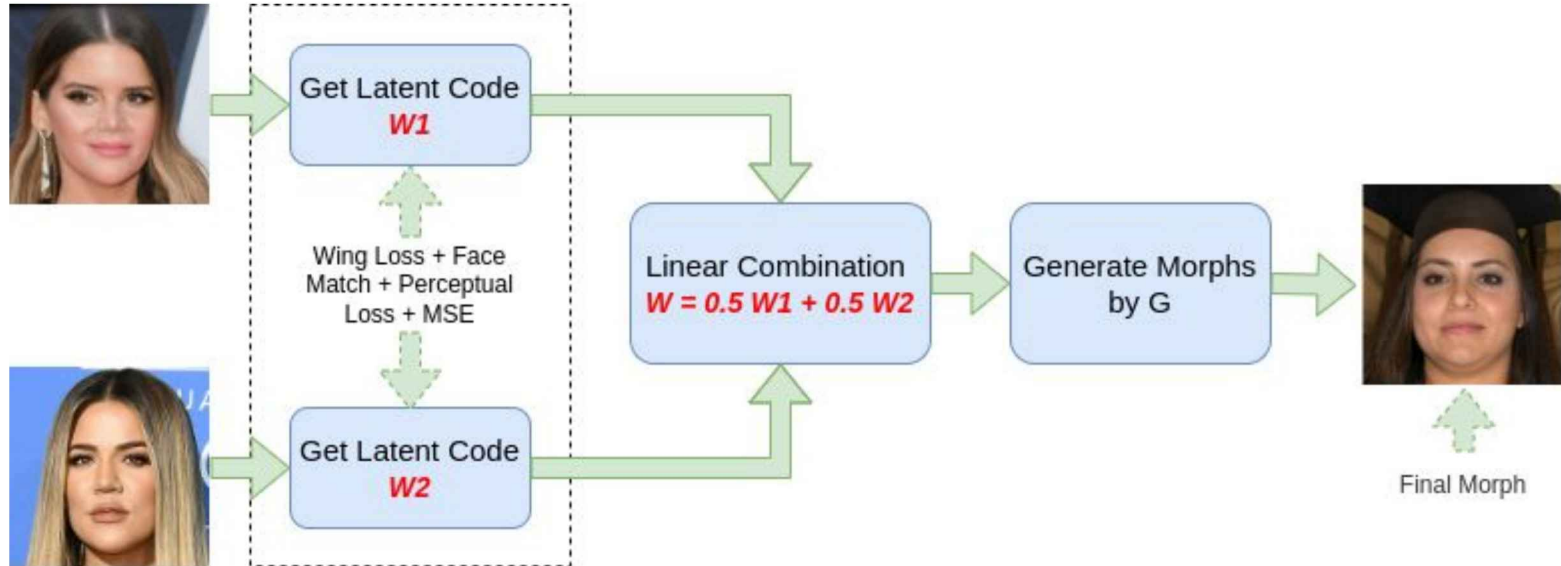
$$L_{mse}(G(w), I) = \frac{1}{N} \|G(w) - I\|_2^2$$

Pixel-level Mean square error.  
 $N$  is the number of scalars of the image

# Face Morphing

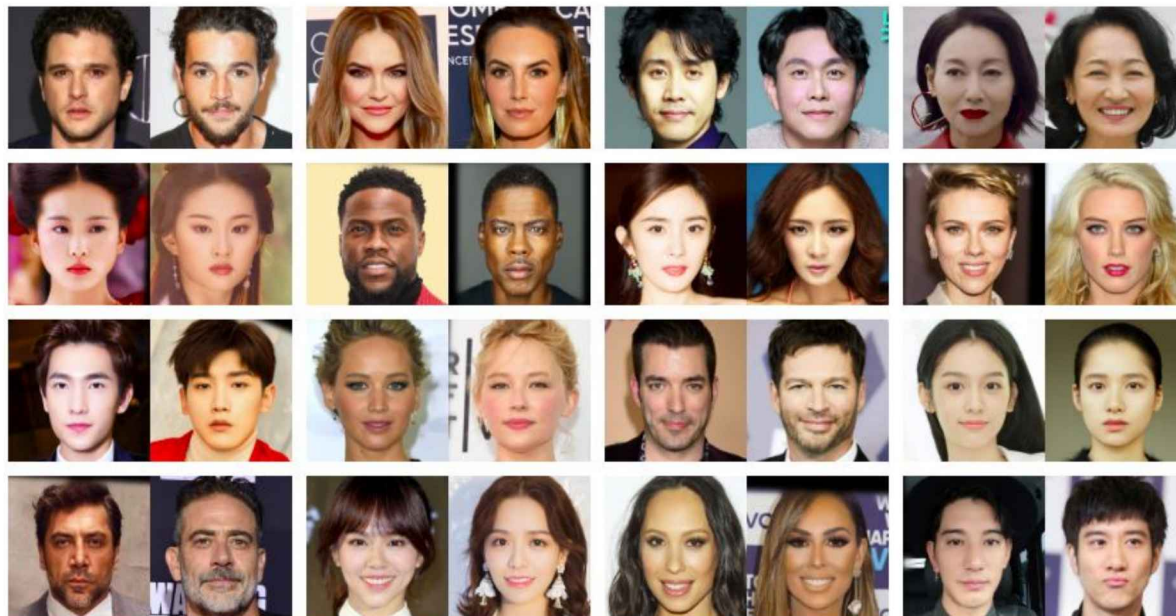
- Given two face images  $I_1$  and  $I_2$ , with their respective latent vectors  $W_1$  and  $W_2$
- Face morphing is performed by a **linear interpolation**:

$$W = \lambda W_1 + (1 - \lambda)W_2, \lambda \in (0, 1)$$

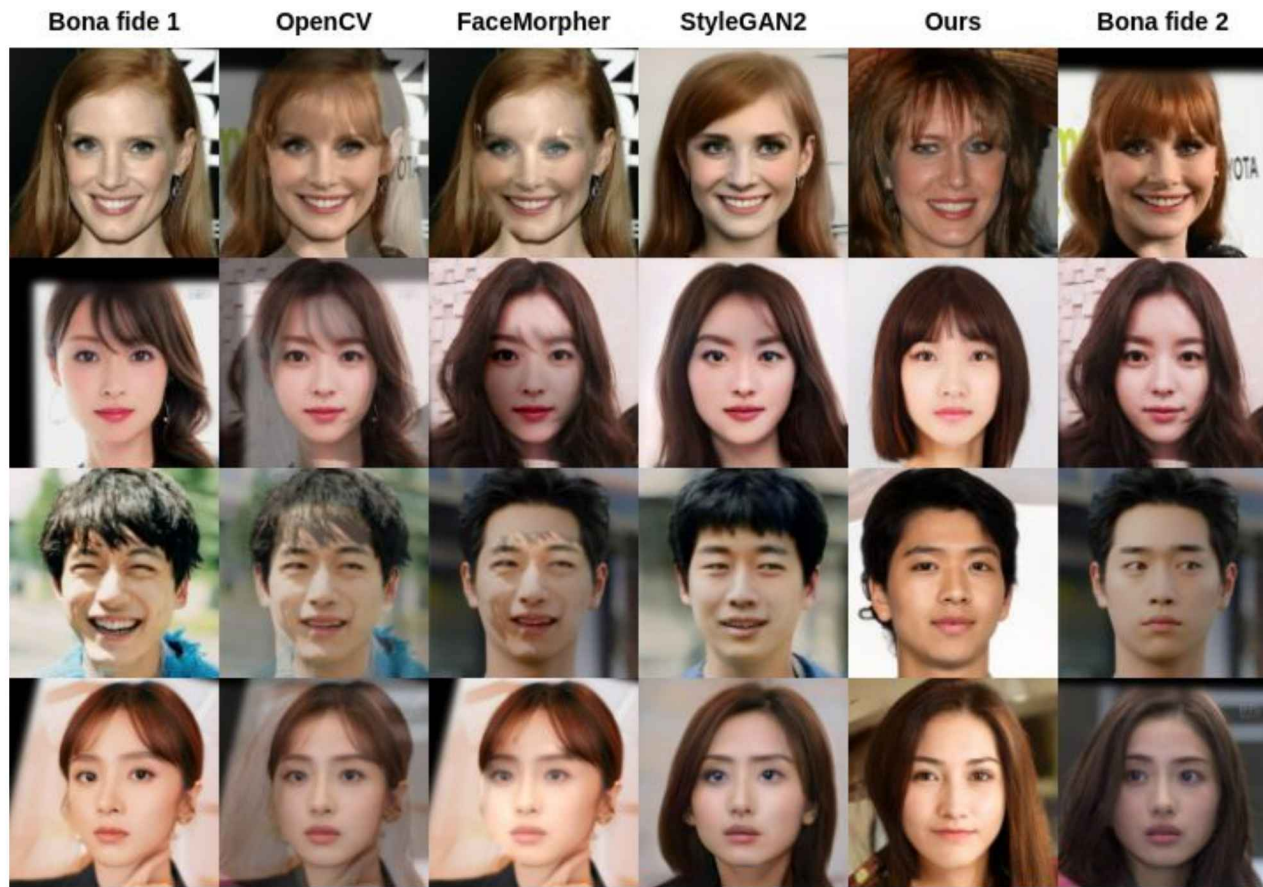


# Bona Fide Faces

- Doppelgänger Face Pairs
  - Celebrities that **appear similar**
  - Same gender and ethnicity
  - 153 pairs
  - **1024x1024**



# Morphed Result



- OpenCV/ FaceMorpher:
  - misaligned pixels
  - generating artifacts
  - ghost-like artifacts
- StyleGAN2
  - Synthetic-like generation artifacts
- **Ours**
  - More visibly realistic
  - More natural

# Vulnerability Test

- On 3 FR models
- Ideally, **a strong morphing attack will have a high similarity score to the target identities**
- Ours
  - Have same or even better ability to **preserve the characteristic of identities**
  - Also can generated **visually realistic and natural** faces

Mated Morphed Presentation Match Rate (MMPMR) - (%) at FMR=0.1%

Method	ArcFace	FaceNet	LBP
OpenCV	94.73	82.23	87.50
FaceMorpher	81.21	73.83	87.92
StyleGAN2	84.21	70.65	85.52
our-FaceNet	56.58	50.53	82.11
our-ArcFace	53.29	47.24	80.79
our-LBP	50.66	43.95	90.00
our-Percept	53.29	43.95	78.82
our-Percept+Wing	82.24	59.08	88.68
our-Percept+Wing+MSE	84.87	62.37	89.34
our-HOG	77.63	45.92	86.71
our-HOG+Percept	86.18	59.74	88.03
our-HOG+Percept+Wing	85.53	61.05	88.03
<b>our-HOG+Percept+Wing+MSE</b>	<b>90.08</b>	<b>70.92</b>	<b>89.77</b>

# Limitations

- **Local minimum** of loss
  - Not all the optimization can lead to good results
  - Sometimes the learning converges on local minimum
- **Time** of learning latent code
  - Around 8 minutes with 1500 gradient descent steps per image